PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Ronald Levy; Dennis Panicali

Serial No.:

10/660,369

September 11, 2003

GROUP:

1614

Filed: For:

EXAMINER: To be assigned TRANSDUCED NEOPLASTIC CELL PREPARATIONS ABLE TO EXPRESS T-CELL

COSTIMULATORY MOLECULES B7.1, ICAM-1, AND LFA-3 AND INDUCE IMMUNOSTIMULATORY PROPHYLACTIC AND THERAPEUTIC ANTI-TUMOR

EFFECTS IN-VIVO

CERTIFICATE OF MAILING (37 C.F.R. SECTION 1.10)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail to Addressee, in an envelope addressed to the Mail Stop Missing Par,s Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

January 22, 2004

Date

person mailing paper) (type/or print näme of

Signature/of person mailing paper

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL APPLICATION DATA SHEET 37 C.F.R. § 1.76

BIBLIOGRAPHIC DATA

1. Applicant information

First applicant:

Ronald Levy

Country of Citizenship:

US

Residence:

966 Mears Court, Stanford, CA 94305

Second applicant:

Dennis Panicali

Country of Citizenship:

Residence:

114 Nonset Path, Acton, MA 01720

2. Correspondence information

Correspondence for this application should be addressed as follows:

David S.Resnick

Nixon Peabody LLP 101 Federal Street Boston, MA 02110

US

Applicants: Ronald Levy et al.

Serial No.: 10/660,369 GROUP: 1614
Filed: September 11, 2003 EXAMINER: TBA
For: TRANSDUCED NEOPLASTIC CELL PREPARATIONS ABLE TO EXPRESS T-C

TRANSDUCED NEOPLASTIC CELL PREPARATIONS ABLE TO EXPRESS T-CELL COSTIMULATORY MOLECULES B7.1, ICAM-1, AND LFA-3 AND INDUCE

IMMUNOSTIMULATORY PROPHYLACTIC AND THERAPEUTIC ANTI-TUMOR EFFECTS

IN-VIVO

3. Application information

Title of Invention: TRANSDUCED NEOPLASTIC CELL PREPARATIONS ABLE TO

EXPRESS T-CELL COSTIMULATORY MOLECULES B7.1, ICAM-1, AND LFA-3 AND INDUCE IMMUNOSTIMULATORY PROPHYLACTIC

AND THERAPEUTIC ANTI-TUMOR EFFECTS IN-VIVO

Docket number assigned to this application: 7009

n: 700953-54440

Suggested Classification: C

Class:

Subclass:

Technology Center to which subject matter is assigned:

Total number of drawing sheets: 8

Type of application: Utility

Application is to be published. Suggested drawing figure for publication:

Secrecy order under § 5.2: N/A

This application <u>is not</u> subject matter of an application which is under a secrecy order pursuant to § 5.2.

4. Representative information

The following have a power of attorney or authorization of agent in this application:

(Reg. No. 30,628) Ronald I. Eisenstein David S. Resnick (Reg. No. 34,235) Michael L. Goldman (Reg. No. 30,727) Nicole L.M. Valtz (Reg. No. 47,150) Georgia Evans (Reg. No. 44,957) Joseph Noto (Reg. No. 32,163) Gunnar G. Leinberg (Reg. No. 35,584) Edwin V. Merkel (Reg. No. 40,087)

Nixon Peabody LLP 101 Federal Street Boston, MA 02110

US

5. Domestic Priority information

Domestic priority for this application is claimed as follows:

Under 35 U.S.C. § 119(e) of

Application No.: 60/410,161 Filed: September 12, 2002

Status: Lapsed

Relationship: Provisional Application

Applicants: Ronald Levy et al.

Serial No.: 10/660,369 GROUP: 1614 Filed: September 11, 2003 **EXAMINER: TBA** For:

TRANSDUCED NEOPLASTIC CELL PREPARATIONS ABLE TO EXPRESS T-CELL

COSTIMULATORY MOLECULES B7.1, ICAM-1, AND LFA-3 AND INDUCE IMMUNOSTIMULATORY PROPHYLACTIC AND THERAPEUTIC ANTI-TUMOR EFFECTS

IN-VIVO

7. Assignee information

The assignee(s) of this application is/are: Ronald Levy; Dennis Panicali

Assigned to:

THERION BIOLOGICS CORPORATION and

The Board of Trustees of the Leland Stanford Junior

76 Rogers Street

University

Cambridge, MA 02142

1705 El Camino Real Palo Alto, CA 94306

Extent of interest of assignee in application: entire

Date: January 22, 2004

David S. Resnick Reg. No. 34,235

Nicole L. M. Valtz Reg No. 47,150 Nixon Peabody LLP 101 Federal Strteet

Boston, MA 02110

US

Tel. (617) 345.6057 Customer No. 26248